



Form ADV Part 2B: Individual Disclosure Brochure

Steve McConnell

Personal CRD #7828938

Investment Advisor Representative

Office Address

330 112th Ave NE, Ste. 300
Bellevue, WA 98004

Mailing Address

9749 NE 5th Street
Bellevue, WA 98004

Tel: 425-440-8777

Email: steve@raindogllc.com

Website: www.raindogllc.com

Updated March 30, 2025

This brochure supplement provides information about Steve McConnell and supplements the Rain Dog LLC dba Rain Dog Financial brochure. You should have received a copy of that brochure. Please contact Steve McConnell if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Steve McConnell (CRD #7828938) is available on the SEC's website at www.adviserinfo.sec.gov.

Principal Executive Officer – Steve McConnell

- Year of birth: 1962

Item 2: Educational Background and Business Experience

Educational Background

- Bryant University/Boston Institute of Finance, Financial Planning Certificate, 27 credits
- Whitman College; Bachelor of Arts in Philosophy, Mathematics and Computer Science; 1985
- Seattle University; Master of Software Engineering; 1991

Professional Designations and Exams

- Series 65, Uniform Investment Adviser Law, September 2023
- Security Industry Essentials exam, August 2023

Business Experience

- Rain Dog LLC; Investment Advisor Representative; 4/2024-Present
- Rain Dog LLC; Managing Member; 04/2023-Present
- Rental Property Owner; 03/2007-Present
- Construx Software Builders, Inc.; Founder/Chairman; 04/1996-Present
- Author; 07/1991-Present

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Steve McConnell is Founder and Chairman of Construx Software Builders, Inc., approximately 2% of his time will be spent on this activity.

Steve McConnell also owns rental properties and is an author, approximately 5% of his time will be spent on these activities. Clients of Rain Dog will not be offered services from these activities, therefore, there is no conflict of interest.

Item 5: Additional Compensation

Steve McConnell does not receive any performance-based fees and does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6: Supervision

Since Steve McConnell is the sole owner and investment adviser representative of Rain Dog and is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients. He will adhere to the policies and procedures as described in the firm's Compliance Manual. He can be reached at steve@raindogllc.com or 425-440-8777.

Item 7: Requirements for State-Registered Advisors

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Steve McConnell has not been involved in any of the following:
 - 1. An award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.
 - 2. An award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.
- B. Steve McConnell has never been the subject of a bankruptcy petition.