



## Form ADV Part 2B: Individual Disclosure Brochure

### Steve McConnell

Personal CRD #7828938

Investment Adviser Representative

#### Office Address

330 112th Ave NE, Ste. 300  
Bellevue, WA 98004

#### Mailing Address

9749 NE 5th Street  
Bellevue, WA 98004

Tel: 425-440-8777

Email: [steve@raindogllc.com](mailto:steve@raindogllc.com)

Website: [www.raindogllc.com](http://www.raindogllc.com)

Updated March 20, 2026

This brochure supplement provides information about Steve McConnell and supplements the Rain Dog LLC dba Rain Dog Financial brochure. You should have received a copy of that brochure. Please contact Steve McConnell if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Steve McConnell (CRD #7828938) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Principal Executive Officer – Steve McConnell

- Year of birth: 1962

## Item 2: Educational Background and Business Experience

### Educational Background

- Bryant University/Boston Institute of Finance, Financial Planning Certificate, 27 credits
- Whitman College; Bachelor of Arts in Philosophy, Mathematics and Computer Science; 1985
- Seattle University; Master of Software Engineering; 1991

### Professional Designations and Exams

- Chartered Financial Consultant, May 2025
- Certified Financial Planner, December 2025
- Series 65, Uniform Investment Adviser Law, September 2023
- Security Industry Essentials exam, August 2023

### Business Experience

- Rain Dog Financial; Investment Adviser Representative; 04/2024-Present
- Rain Dog Financial; Managing Member; 04/2023-Present
- Rental Property Owner; 03/2007-Present
- Construx Software Builders, Inc.; Founder/Chairman; 04/1996-Present
- Author; 07/1991-Present

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Steve McConnell is the Founder and Chairman of Construx Software Builders, Inc. Approximately 2% of his time is devoted to this activity.

Steve McConnell also owns rental properties and is an author. Approximately 5% of his time is devoted to these activities. Clients of Rain Dog Financial are not offered services through these activities, and these activities do not create a material conflict of interest.

## Item 5: Additional Compensation

Steve McConnell does not receive any performance-based fees and does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

## Item 6: Supervision

Steve McConnell is the sole owner and sole investment adviser representative of Rain Dog Financial and is responsible for the supervision, formulation, and monitoring of the investment advice provided to clients. He adheres to the policies and procedures described in the firm's Compliance Manual. He can be reached at [steve@raindogllc.com](mailto:steve@raindogllc.com) or 425-440-8777.

## Item 7: Requirements for State-Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment adviser representative's suitability.*

- A. Steve McConnell has not been involved in any of the following:
1. An award or otherwise been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) An investment or an investment-related business or activity;
    - b) Fraud, false statement(s) or omissions;
    - c) Theft, embezzlement or other wrongful taking of property;
    - d) Bribery, forgery, counterfeiting, or extortion;
    - e) Dishonest, unfair or unethical practices.
  2. An award or otherwise been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) An investment or an investment-related business or activity;
    - b) Fraud, false statement(s) or omissions;
    - c) Theft, embezzlement or other wrongful taking of property;
    - d) Bribery, forgery, counterfeiting, or extortion;
    - e) Dishonest, unfair or unethical practices.
- B. Steve McConnell has never been the subject of a bankruptcy petition.